

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



6

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁷ : A61B 5/0285, 5/0225</p>	<p>A1</p>	<p>(11) International Publication Number: WO 00/47110 (43) International Publication Date: 17 August 2000 (17.08.00)</p>
<p>(21) International Application Number: PCT/IL00/00089 (22) International Filing Date: 11 February 2000 (11.02.00) (30) Priority Data: 128482 11 February 1999 (11.02.99) IL (71) Applicant (for all designated States except US): ULTRAMIND INTERNATIONAL LTD. [IL/IL]; Jerusalem Technological Park, Building 1, Malcha, 91482 Jerusalem (IL). (72) Inventors; and (75) Inventors/Applicants (for US only): SHUSTERMAN, Vladimir [IL/US]; 245 Melwood Avenue, App. 50, Pittsburgh, PA 1521 (US). ROYTVARF, Alex [IL/IL]; Tiomkin 6/11, 75257 Rishon Lezion (IL). ORBACH, Tuvi [IL/IL]; Ultramind International Ltd., Jerusalem Technological Park, Building 1, Malcha, 91482 Jerusalem (IL). (74) Agent: REINHOLD COHN AND PARTNERS; P.O. Box 4060, 61040 Tel Aviv (IL).</p>		<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report.</p>
<p>(54) Title: METHOD AND DEVICE FOR CONTINUOUS ANALYSIS OF CARDIOVASCULAR ACTIVITY OF A SUBJECT</p>		
<p>(57) Abstract</p> <p>A method for obtaining continuously and non-invasively one or more parameters relating to the cardiovascular system of a subject. The parameters obtainable by the method are systolic blood pressure, diastolic blood pressure, young modulus of an artery, cardiac output, relative changes in vascular resistance, and relative changes in vascular compliance. In accordance with the method, the ratio, κ of the subject's blood flow velocity to the propagation speed of the subject's pulse wave is obtained continuously and non-invasively. κ is then processed so as to obtain the instantaneous values of the desired parameters.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

METHOD AND DEVICE FOR CONTINUOUS ANALYSIS OF CARDIOVASCULAR ACTIVITY OF A SUBJECT

FIELD OF THE INVENTION

The invention is in the field of medical diagnostic devices and more specifically devices for analyzing cardiovascular activity of a subject.

5 GLOSSARY

There follows a glossary of terms used herein, some of which are standard, others having been coined, together with their abbreviations.

Plethysmograph (PG) - An instrument for measuring blood flow.

10

Pulse Transit Time (PTT) - The elapsed time between the arrival of a pulse pressure peak at two points in the arterial system, or the elapsed time between a particular point in the ECG signal and the arrival of the consequent pulse wave at a particular point in the arterial system.

15

Cardiac output (CO) - The blood volume pumped into the aorta by the heart per minute.

Vascular compliance (VCL) - The ratio of the change in the blood vessel
20 volume to the change in pressure.

AREA - The area under the peak of a plethysmograph signal.

Peak Amplitude (PA) - The amplitude of the peak of a plethysmograph signal.

5 *Systolic Pressure (SP)* - The blood pressure during the contraction phase of the cardiac cycle.

Diastolic Pressure (DP) - The blood pressure during the relaxation period of the cardiac cycle.

10 BACKGROUND OF THE INVENTION

Continuous, non-invasive monitoring of blood pressure and vascular parameters is important, for example, in people for whom abnormally high or low blood pressure poses a major threat to their health. Several approaches have been developed for noninvasive, continuous, blood pressure
15 monitoring. For example, U.S. Patent 4,475,554 discloses a device which determines blood pressure from oscillometric measurements. These devices utilize an inflatable cuff that can be placed on an arm above the elbow or on a finger. The cuff is inflated to equilibrate with the internal pressure in the underlying digital vessels. As the blood pressure in the digital arteries
20 fluctuates, the cuff pressure is adjusted by a feedback control mechanism so as to balance the blood pressure. The blood pressure at any moment is considered to be proportional to the cuff pressure. This assumes that the elasticity and tone of the digital arteries remains constant over time while in fact it is extremely variable. For this reason, these devices are not practical for prolonged blood
25 pressure monitoring. Moreover, the constant cuff pressure makes these cuffs uncomfortable for the patient and often causes problems in the peripheral blood circulation. These oscillometric devices are therefore rarely used for continuous blood pressure monitoring.

Several studies have attempted to estimate systolic and diastolic
30 pressure by analyzing only the plethysmograph (PG) signal. These methods, however, employ high order derivatives and therefore require a signal with

extremely low noise that is practically unattainable due to the subject's movements. Moreover, these methods cannot be used for real time blood pressure measurements since the data must be averaged over several minutes.

US patents 4,869,262 to Orr, 4,807,638 to Sramek, and 5,709,212
5 to Sugo, disclose devices that calculate blood pressure only from pulse transient time (PTT). The reliability and reproducibility of blood pressure measurements determined solely from PTT, however, is not great enough to allow accurate blood pressure measurements.

Another approach to non-invasive monitoring of blood pressure
10 is disclosed in European Patent Application EP 0 443 267 A1 of Smith. This method uses both the PG signal and a PTT for the calculation of the systolic and diastolic pressures. The PG signal must first be normalized in each cardiac cycle by dividing the AC signal by the DC signal assuming that the variation in vascular tone and elasticity is slower than that in the heart rate. This
15 normalization procedure is empirical and inaccurate. Moreover, the equations used for calculating the systolic and diastolic pressures are also empirical and thus inaccurate in many cases.

It has long been known that changes in cardiac output and other vascular characteristics (compliance, resistance, and Young modulus) affect
20 blood pressure. Different physiological processes govern blood pressure changes of different origins and a different medical treatment is required for the same change in blood pressure when it arises from different origins. Determining the cause of a change in blood pressure is therefore crucial for successful treatment. However none of the prior-art devices and methods
25 discloses means for the non-invasive monitoring of these factors. Moreover, all of the prior-art devices and methods ignore the effects of these factors on blood pressure.

There is accordingly a need in the art for a method and device for the non-invasive, continuous monitoring of blood pressure, cardiac output, and
30 other vascular characteristics in which the disadvantages of the aforementioned prior-art methods are substantially reduced or eliminated.

REFERENCES

US Patents

5	US 4,475,554	10/1984	Hyndman
	US 4,807,638	2/1989	Sramek
	US 4,869,262	9/1989	Orr
	US 5,709,212	1/98	Sugo

10 European Patent Application

EP 0 443 267 A1 (Corresponding to US 484687) 2/1990 Smith

SUMMARY OF THE INVENTION

In the context of the present invention, two explicitly described,
15 calculable or measurable variables are considered equivalent to each other when the two variables are proportional to each other.

In the following description and set of claims, κ will be used to denote the ratio of the blood flow velocity to the propagation speed of the pressure pulse wave in an individual.

20 The invention is based on the novel and non-obvious finding that diastolic and systolic blood pressures determined from calculations involving κ are more accurate than those obtained by prior art methods where κ is not used.

The invention thus comprises a method and a device for the continuous and non-invasive measurement of κ . In a preferred embodiment of
25 the invention, κ is obtained from a PG signal and PTT of a subject. In a most preferred embodiment κ is obtained from a PG signal and PTT of a subject according to the theory of waves of strong discontinuities as described for example in Landau L.D. and Lifshitz E.M., *Statistical Physics*, Pergamon Press, 1979; Landau L.D., and Lifshitz E.M., *Fluid Mechanics*, Pergamon
30 Press, 1987; and Landau L.D., Lifshitz E.M., *Theory of Elasticity*, Pergamon Press, 1986, and Kaplan D. and Glass, *Understanding Non-Linear Dynamics*,

Springer-Verlag, N.Y., 1995, which are hereby incorporated in their entirety by reference. In a yet more preferred embodiment, κ is given by:

$$\kappa = 1/(1/(\text{PEAK} \cdot v) + 1),$$

5

where v is the propagation speed of the pulse wave (the pulse wave velocity) which is inversely proportional to PTT, and

$$\text{PEAK} = k_1 \cdot \text{PTT} \cdot \text{PA} + k_2 \cdot \text{AREA},$$

10

where PA and AREA are respectively the amplitude and area of the pulse wave obtained from the PG signal, and k_1 and k_2 are two empirically obtained constants.

In another preferred embodiment κ is given by: $\kappa = \frac{1}{\left(\left(\frac{1}{\text{PA}}\right) + 1\right)}$

15

Slow (0.01-0.05 Hz) fluctuations in vascular radius (vasomotor tone) can optionally be filtered out from the PG signal in order to increase the accuracy of the κ measurement. This can be carried out, for example, by replacing PEAK in the definition of κ with $\text{PEAK}/(\text{slow component of PEAK})^2$. The slow component of PEAK can be obtained, for example, by

20 low-pass filtering of the pulse wave. Other methods for obtaining κ continuously and non-invasively are also contemplated within the scope of the invention.

Means for obtaining the PG signal of a subject continuously and non-invasively is known in the art and may, for example, be a photo-PG sensor.

25

Other methods for measuring pressure waves in a blood vessel are also contemplated within the scope of the invention. This includes, but is not limited to, use of several photo PG devices, impedance PG devices, piezoelectric, ultrasound, laser, or other types of sensors.

Means for the continuous and non-invasive determination of PTT is known in the art and may comprise, for example, an electrocardiograph monitor and a PG sensor. The PTT in this case is the time lapse between a particular point in the ECG wave, for example the R peak, and the arrival of the
5 corresponding pressure wave at the PG sensor. Other means for measuring PTT comprise, for example, a pair of PG sensors that are attached to the skin along the same arterial vessel and separated from one another. In this case, the PPT is the time lapse between the arrival of a pressure wave at the two locations.

The invention thus further provides for a device for processing κ
10 in real time so as to obtain a continuous and non-invasive measurement of systolic and diastolic blood pressures.

Still further, the invention provides for a device for processing κ in real time so as to obtain a continuous and non-invasive measurement of Young modulus, vascular resistance, cardiac output, and vascular compliance.
15 The prior art does not disclose methods for obtaining these parameters.

The measurements provided by the invention of the diastolic and systolic blood pressures, Young modulus, vascular resistance, cardiac output, and vascular compliance are more robust and less sensitive to external noises, changes in body position, and sensor placement than measurements provided
20 by prior art devices.

The invention further provides for a device for processing κ in real time so as to continuously and non-invasively obtain indices for indicating a change in the blood pressure in a subject due to a change in cardiac output or a change in vascular compliance. Since different physiological processes
25 govern blood pressure changes of different origins and a different medical treatment is required for the same change in blood pressure when it arises from different origins, the present invention provides means for determining the appropriate treatment.

In a preferred embodiment, κ is processed in real time so as to
30 obtain the aforementioned parameters according to the theory of waves of

strong discontinuities. In a most preferred embodiment, the aforementioned parameters are obtained using the following algorithmic expressions:

Systolic Pressure (SP)

5 Method 1

$$SP = \rho v^2 \Phi(\kappa, \gamma),$$

where ρ is the blood density, γ is the thermodynamic Poisson exponent of the
10 blood, and

$$\Phi = \frac{\sqrt{2\kappa(\gamma-1)^2 + 4 \cdot (\gamma-1) + 1} - 1}{2(\gamma-1)}$$

Method 2

15

$$SP = (\log v^2)/\alpha + 2\rho v^2 \kappa/3 + \lambda,$$

where $\lambda = (\log(2\rho R/E_0 h))/\alpha$, where R is the radius of the artery, h is the thickness of the arterial wall, E_0 is Young modulus referred to zero pressure,
20 and α is an empirically obtained constant.

Method 3

25

$$SP = (\log v^2/(1 - \epsilon H^2))/\alpha + 2\rho v^2 \kappa/3 + \lambda,$$

where ϵ is an empirically obtained constant and H is the heart rate.

Method 4

$$SP = [(\log v^2)/\alpha + \lambda]/(1 - \kappa).$$

Method 5

$$SP = [(\log v^2 / (1 - \epsilon H^2)) / \alpha + \lambda] / (1 - \kappa).$$

5 Diastolic Pressure (DP)

$$DP = SP - \rho v^2 \kappa,$$

Young Modulus**10 Method 1**

$$E = (2R/h) (SP - DP) / \kappa$$

Method 2

$$E = (2R/h) SP / \Phi(\kappa, \gamma)$$

15Method 3

$$E = (2R/h) \rho \exp[(-\lambda + MP)\alpha]$$

where MP is the mean pressure, $MP = (SP + 2 \cdot DP) / 3$, where SP or DP is
20 obtained using an algorithmic expression involving κ .

Method 4

$$E = (2R/h) \cdot \rho \cdot \exp((-\lambda + SP \cdot (1 - \kappa))\alpha)$$

25 Cardiac Output (CO)

$$CO = PEAK \cdot \{ v \cdot [1 + SP / (2\rho \cdot v^2)] \}^2$$

where SP is obtained using an algorithmic expression involving κ , and the slow
 component of PEAK has been filtered out as described above.

30 Vascular Resistance (VR)

$$VR = (SP - DP)/CO.$$

where any one or more of SP, DP, and CO are obtained using an algorithmic expression involving κ .

5 Vascular Compliance (VC)

$$VC = PEAK/(SP - DP).$$

where any one or more of SP, and DP are obtained from a calculation involving κ . Other methods for obtaining vascular compliance from κ are also contemplated within the scope of the invention.

10

The Effect of VC, VR and CO on Blood Pressure

The relative contribution of CO to an observed change in SP is given by a parameter INDEX1 defined by

15

$$INDEX1 = \partial SP / \partial CO - \partial SP / \partial VC$$

where any one or more of the parameters SP, CO, and VC are obtained from a calculation involving κ . An increase in INDEX1 over time is indicative of a change in SP primarily due to changes in cardiac output (CO). A decrease in INDEX1 over time is indicative of a changes in SP primarily due to a change in vascular compliance (VC).

20

The relative contribution of VR and CO to an observed change in SP is given by a parameter INDEX2 defined by

25

$$INDEX2 = \partial SP / \partial CO - \partial SP / \partial VR$$

where any one or more of the parameters SP, CO, and VR are obtained from a calculation involving κ . An increase in INDEX2 over time is indicative of a change in SP primarily due to changes in cardiac output (CO). A decrease in INDEX2 over time is indicative of a change in SP and DP primarily due to a change in vascular resistance (VR).

30

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be described by way of example only with reference to the accompanying non-limiting drawings in which:

5 **Fig. 1.** shows one embodiment using a device of the invention; and

Fig. 2 shows a generalized flow chart of the processing steps according to one embodiment of the invention.

DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

10 Fig. 1 shows a subject **10** being monitored by a device according to a preferred embodiment of the invention. ECG electrodes **12** have been affixed to the subject's chest for continuously and non-invasively monitoring his/her electrocardiograph. A PG sensor **14** has been attached to the subject's finger for continuously and non-invasively monitoring his/her pulse wave.
15 Signals from the ECG electrodes and the PG sensor are continuously fed into a processor **16**. The processor **16** includes an interface, an A/D converter, amplifiers and a cable to a serial port of a PC computer **18**. Preliminary blood pressure measurements are carried out for calibration purposes in order to obtain any empirically defined constants using a commercially available
20 sphygmomanometer **20**.

A generalized flow chart of the processing carried out by processor **16** is shown in Fig 2. The ECG and PG signals are first processed in real time so as to obtain instantaneous values of κ . κ is then processed in real time so as to obtain the instantaneous values of the desired parameters. The
25 calculated values of the desired parameters are transferred in real time to PC **18** for storage and display.

Example

The invention will now be demonstrated by way of a non-limiting
30 example.

Methods

The blood pressure of a group of eleven subjects, 7 males and 4 females ranging in age from 21-44, was examined using the invention. Of the 11 subjects, 10 were known to have normal blood pressure, while one had
5 borderline hypertension. Each subject was examined at least twice. Each examination lasted approximately one hour and included measurements in the following positions: supine (15 min), sitting (15 min), and standing (10 min). In 7 subjects measurements were also made in a sitting position after 10 min of controlled physical exercise on a bicycle or during a Valsalva test. The data
10 were processed separately for each subject and for each position.

Reference blood pressure measurements were obtained from each subject using one or both of the following devices:

1. A commercially available blood pressure measurement device (A Dynapulse 200M™ comprising a cuff-manometer connected
15 to a PC computer).
2. Continuous oscillometric blood pressure measurement from the finger arteries (Finapres™, Ohmeda) combined with a device (Ultramind) for the transmission of the output to a PC computer.

When the Finapres™ device was used as a reference, blood
20 pressure was measured continuously and saved in real time. When the Dynapulse™ device was used, discrete blood pressure measurements were made 3 - 4 times during the examination. The reference blood pressure measurements at the beginning of each examination were used to obtain the constant parameters k_1 , k_2

25 ECG and PG signals were obtained from each subject and processed by custom software in real time. Processing included the following successive operations:

1. Smoothing (filtering and high-frequency noise).
2. Baseline drift correlation of the PG signal (high pass filtering
30 using a cut-off frequency of 1.0-2.0 Hz).

3. Performing a peak recognition procedure on the ECG and PG signals.
4. Obtaining PA as the height of the PG peak.
5. Calculating PTT as the time interval between an ECG peak and the corresponding PG peak.
6. Calculating AREA by integration of the PG signal over the time interval from the ECG peak to the PG peak.
7. Calculating the heart rate.
8. Calculating the constant parameters k_1 , k_2 , α and ε using a chi-square test in accordance with the maximal likelihood principle.

SP and DP were then obtained for each subject as follows:

ECG and PG signals were obtained from each subject and processed by custom software in real time. Processing included the following successive operations:

1. Smoothing (filtering and high-frequency noise).
2. Baseline drift correlation of the PG signal (high pass filtering using a cut-off frequency of 1.0-2.0 Hz).
3. Performing a peak recognition procedure on the ECG and PG signals.
4. Obtaining PA as the height of the PG peak.
5. Calculating PTT as the time interval between an ECG peak and the corresponding PG peak.
6. Calculating AREA by integration of the PG signal over the time interval from the ECG peak to the PG peak.
7. Calculating the heart rate.
8. Calculating SP and DP according to the methods of the invention.
9. Calculating SP and DP according to the method of European Patent Application EPO 443267A1 of Smith.

The constant parameters were adjusted from time to time during the examination as required.

The results were compared with those obtained by the following methods,

The output consisted of the following two parts:

1. SP and DP time series obtained according to the invention and according
5 to the method of Smith.
- 2 The mean error and the root-mean-square error between the SP and DP
time series and the reference blood pressure measurements.

Results

The blood pressure measurements obtained according to the invention on subjects at rest, and those calculated by the empirical formulas of European Patent Application EPO 443267A1 of Smith (Table 1) were compared with those obtained by the reference devices. SP and DP determinations obtained according to the present invention are more stable than those obtained by the method of Smith. In particular, the mean error and standard deviations of SP measurements obtained after stress according to Methods 3 and 5 of the present invention were 2 and 5 times smaller, respectively, than those obtained by the method of Smith. In all 26 subjects, when SP measurements were obtained according to Method 3 and 5 the mean error was 1.6 times smaller than that obtained by the method of Smith ($p=0.023$).

Table 2 shows the results of blood pressure measurements obtained on subjects while supine or sitting after exercise. The five methods of the invention and the method of European patent Application EPO 443267A1 of Smith were compared with measurements obtained by Finapress™ and a Dynapulse 200M™. In particular, the mean error between SP measurements obtained according to Methods 3 and 5 in all 26 subjects was 54% of the error obtained of Smith ($p=0.023$).

Table 1

Subject's position		Method 1	Methods 2, 4	Methods 3, 5	Smith
Dynapulse™ (n=12)	SP	18±13	13±8	10±8	20±37
	DP	11±8	7±5	6±4	8±4
Finapress™ (n=14)	SP	12±5	9±4	8±4	9±4
	DP	7±3	6±3	8±4	6±3

Table 1. Mean error \pm standard deviation (mm Hg) between the blood pressure measurements obtained by the five methods of the present invention, and the

method of Smith compared with measurements obtained using a Finapres™ or Dynapulse™.

Table 2

5

Subject's position		Method 1	Methods 2, 4	Methods 3, 5	Smith
Supine, (n=19)	SP	11±5	9±4	7±4	8±4
	DP	7±4	6±4	7±4	6±3
Sitting, following exercise (n=7+)	SP	23±14	17±8	14±9	30±47
	DP	14±8	7±4	7±4	8±4
Total (n=26)	SP	15±10	11±6	9±6	14±26
	DP	9±6	6±4	7±4	7±3

Table 2. Mean error ± standard deviation (mm Hg) obtained by the five methods of the present invention and the method of European Patent Application EPO 443267A1 of Smith compared with measurements obtained using a Finapres™ and a Dynapulse 200M™. The measurements were made while the subject was either supine with no prior exercise or sitting following exercise.

The present invention has been described with a certain degree of particularity, but it should be understood that various notifications and alterations may be made without departing from the scope or spirit of the invention as defined by the following claims:

CLAIMS

1. A method for obtaining continuously and non-invasively one or more of the parameters of a subject from the list comprising:
- 5 i. systolic blood pressure,
ii. diastolic blood pressure,
iii. Young modulus of an artery,
iv. cardiac output,
v. relative changes in vascular resistance, and
10 vi. relative changes in vascular compliance;
said method comprising:
- (a) substantially obtaining continuously and non-invasively the ratio, κ , of the subject's blood flow velocity to the propagation speed of the subject's pulse wave; and
- 15 (b) processing κ substantially in real time so as to obtain the instantaneous values of the desired parameters.
2. The method according to Claim 1 wherein κ is obtained by processing a PG signal and a PTT continuously and non-invasively obtained from the subject.
- 20 3. The method of Claim 2 wherein κ is obtained according to the following algorithmic expression:

$$\kappa = 1/(1/(\text{PEAK} \cdot v) + 1),$$

25 where v is the pulse velocity, and

$$\text{PEAK} = k_1 \cdot \text{PTT} \cdot \text{PA} + k_2 \cdot \text{AREA},$$

where PA and AREA are respectively the amplitude and area of the pulse wave obtained from the PG signal, and k_1 and k_2 are obtained empirically.

4. The method of Claim 2, wherein κ is obtained according to the following algorithm expression:

$$\kappa = \frac{1}{\left(\left(\frac{1}{PA}\right) + 1\right)}$$

where PA is the amplitude of the pulse wave obtained from the PG signal.

5. The method of Claims 3 and 4 further comprising filtering out slow fluctuations in the pulse wave.
6. The method of Claim 5 wherein slow fluctuations in PEAK are filtered out by replacing PEAK in Claim 3 with $PEAK/(\text{slow component of PEAK})^2$
- 10 7. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

$$SP = \rho v^2 \Phi(\kappa, \gamma),$$

- where ρ is the blood density, γ is the thermodynamic Poisson exponent of the blood, v is the pulse wave velocity and
- 15

$$\Phi = \frac{\sqrt{2\kappa(\gamma-1)^2 + 4 \cdot (\gamma-1) + 1} - 1}{2(\gamma-1)}$$

8. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression
- 20

$$SP = (\log v^2)/\alpha + 2\rho v^2 \kappa/3 + \lambda,$$

- where ρ is the blood density, v is the pulse wave velocity, and $\lambda = (\log(2\rho R/E_0 h))/\alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, h is the thickness of the arterial wall and α is obtained empirically.
- 25

9. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

$$SP = [(\log v^2)/\alpha + \lambda]/(1 - \kappa)$$

5 where v is the pulse wave velocity and $\lambda = (\log (2\rho R/E_0 h))/\alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, ρ is the blood density, h is the thickness of the arterial wall and α is obtained empirically.

10. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

$$SP = (\log v^2/(1 - \epsilon H^2))/\alpha + 2\rho v^2 \kappa/3 + \lambda$$

where ρ is the blood density, v is the pulse wave velocity, H is the heart rate, $\lambda = (\log (2\rho R/E_0 h))/\alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, h is the thickness of the arterial wall and ϵ and α are obtained empirically.

11. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

20
$$SP = [(\log v^2/(1 - \epsilon H^2))/\alpha + \lambda]/(1 - \kappa),$$

where, v is the pulse wave velocity, H is the heart rate, $\lambda = (\log (2\rho R/E_0 h))/\alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, h is the thickness of the arterial wall, ρ is the blood density, and ϵ and α are obtained empirically.

25 12. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's diastolic blood pressure includes the algorithmic expression

$$DP = SP - \rho v^2 \kappa,$$

where SP is the systolic pressure, ρ is the blood density, and v is the pulse wave velocity.

13. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject
5 includes the algorithmic expression

$$E = (2R/h) (SP-DP)/\kappa$$

where R is the radius of the artery, h is the thickness of the arterial wall, SP is the systolic pressure and DP is the diastolic pressure.

14. The method of Claim 1, wherein the processing stipulated in
10 step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

$$E = (2R/h) SP/\Phi (\kappa, \gamma)$$

- where R is the radius of the artery, h is the thickness of the arterial wall, SP is the systolic pressure, γ is the thermodynamic Poisson exponent of the blood
15 and

$$\Phi = \frac{\sqrt{2\kappa(\gamma-1)^2 + 4 \cdot (\gamma-1) + 1} - 1}{2(\gamma-1)}$$

15. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

20
$$E = (2R/h) \cdot \rho \cdot \exp (-\lambda + MP) \alpha$$

- where R is the radius of the artery, h is the thickness of the arterial wall, ρ is the blood density, $MP = (SP + 2 \cdot DP)/3$ where SP is the systolic pressure, DP is the diastolic pressure wherein at least one of the systolic pressure or the diastolic pressure is obtained using an algorithmic expression involving κ , and
25 $\lambda = (\log (2\rho R/E_0 h))/\alpha$ where E_0 is Young modulus referred to zero pressure and α is an empirically obtained constant.

16. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

$$E = (2R/h) \cdot \rho \cdot \exp((- \lambda + SP \cdot (1 - \kappa)) \alpha)$$

where R is the radius of the artery, h is the thickness of the artery wall, ρ is the blood density, SP is the systolic pressure and $\lambda = (\log(2\rho R/E_0 h))/\alpha$, wherein E_0 is Young Modulus referred to zero pressure and α is an empirically obtained constant.

17. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the relative change in the cardiac output of a subject includes the algorithmic expression

$$CO = PEAK \cdot \{v \cdot [1 + SP/(2\rho \cdot v^2)]\}^2$$

where SP is a systolic pressure obtained using an algorithmic expression involving κ , ρ is the blood density, and v is the pulse wave velocity and

$$PEAK = k_1 \cdot PTT \cdot PA + k_2 \cdot AREA,$$

where PA and AREA are respectively the amplitude and area of the pulse wave peak obtained from a PG signal, and k_1 and k_2 are obtained empirically.

18. The method of Claim 14 further comprising filtering out slow fluctuations in the pulse wave.

19. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the subject's cardiac resistance includes the algorithmic expression

$$VR = (SP - DP)/CO.$$

25 where any one or more of SP, DP, and CO are obtained from a calculation involving κ .

20. The method of Claim 1, wherein the processing stipulated in step (a) for the calculation of the relative change in the vascular compliance of a subject includes the algorithmic expression

$$VC = PEAK/(SP - DP),$$

Where

$$PEAK = k_1 \cdot PTT \cdot PA + k_2 \cdot AREA,$$

where PA and AREA are respectively the amplitude and area of the pulse wave obtained from the PG signal, and k_1 and k_2 are obtained empirically.

21. A method for determining continuously and non-invasively whether a change in a subject's blood pressure is due to a change in cardiac output or vascular compliance, comprising:

- (a) substantially obtaining continuously and non-invasively the ratio, κ of the subject's blood flow velocity to the propagation speed of the subject's pulse wave;
- 10 (b) processing κ substantially in real time so as to obtain the instantaneous values of the subject's SP, CO and VC; and
- (c) processing the subject's SP, CO, and VC in real time so as to obtain the instantaneous values of the algorithmic expression:

$$INDEX1 = \partial SP / \partial CO - \partial SP / \partial VC,$$

- 15 an increase in INDEX1 over time indicating a change in the subject's blood pressure due to a change in cardiac output, otherwise the change in the subject's blood pressure is due to a change in vascular compliance.

22. A method for determining continuously and non-invasively whether a change in a subject's blood pressure is due to a change in the subject's cardiac output or vascular resistance, comprising:

- (a) substantially obtaining continuously and non-invasively the ratio, κ of the subject's blood flow velocity to the propagation speed of the subject's pulse wave;
- (b) processing κ substantially in real time so as to obtain the instantaneous values of the subject's SP, CO and VR; and
- 25 (c) processing the subject's SP, CO, and VR in real time so as to obtain the instantaneous values of the algorithmic expression:

$$INDEX2 = \partial SP / \partial CO - \partial SP / \partial VR$$

an increase in INDEX2 over time indicating a change in the subject's blood pressure due to a change in cardiac output, otherwise the change in the subject's blood pressure is due to a change in vascular resistance.

23. A device for obtaining continuously and non-invasively one or
5 more of the vascular parameters of a subject from the list comprising:

- i. systolic blood pressure,
- ii. diastolic blood pressure,
- iii. Young's modulus of an artery,
- iv. relative change in cardiac output,
- 10 v. relative change in vascular resistance, and
- vi. relative changes in vascular compliance;

said device comprising:

(a) a device substantially obtaining continuously and non-invasively
the ratio, κ of the subject's blood flow velocity to the propagation speed of the
15 subject's pulse wave; and

(b) a device processing κ substantially in real time so as to obtain the
instantaneous values of the desired parameters.

24. The device according to Claim 23 wherein κ is obtained by
processing a PG signal and a PTT continuously and non-invasively obtained
20 from the subject.

25. The device of Claim 24 wherein κ is obtained according to the
following algorithmic expression:

$$\kappa = 1/(1/(PEAK \cdot v) + 1),$$

25

where v is inversely proportional to PTT, and

$$PEAK = k_1 \cdot PTT \cdot PA + k_2 \cdot AREA,$$

30 where PA and AREA are respectively the amplitude and area of the pulse wave
obtained from the PG signal, and k_1 and k_2 are obtained empirically.

26. The device according to Claim 23, wherein κ is obtained according to the following algorithmic expression

$$\kappa = 1/((1/PA) + 1)$$

where PA is the amplitude of the pulse wave obtained from the PG signal.

5 27. The device of Claim 25 capable of filtering out slow fluctuations in the pulse wave.

28. The device of Claim 27 wherein slow fluctuations in the pulse wave are filtered out by replacing PEAK in Claim 22 with $PEAK/(\text{slow component of PEAK})^2$

10 29. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

$$SP = \rho v^2 \Phi(\kappa, \gamma),$$

where ρ is the blood density, γ is the thermodynamic Poisson exponent of the

15 blood, v is the pulse wave velocity and

$$\Phi = \frac{\sqrt{2\kappa(\gamma-1)^2 + 4 \cdot (\gamma-1) + 1} - 1}{2(\gamma-1)}$$

30. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

20
$$SP = (\log v^2)/\alpha + 2\rho v^2 \kappa/3 + \lambda,$$

where ρ is the blood density, and $\lambda = (\log 2\rho R/E_0 h)/\alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, h is the thickness of the arterial wall and α is obtained empirically.

31. The device of Claim 23, wherein the processing stipulated in step
25 (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

$$SP = [(\log v^2)/\alpha + \lambda]/(1 - \kappa)$$

where v is the pulse wave velocity and $\lambda = (\log (2\rho R/E_0 h))/\alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, ρ is the

blood density. h is the thickness of the arterial wall and α is obtained empirically.

32. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the
5 algorithmic expression

$$SP = (\log v^2 / (1 - \epsilon H^2)) / \alpha + 2\rho v^2 \kappa / 3 + \lambda$$

- where ρ is the blood density, v is the pulse wave velocity, H is the heart rate, $\lambda = (\log (2\rho R / E_0 h)) / \alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure, h is the thickness of the arterial wall and ϵ and α are
10 obtained empirically.

33. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of the subject's systolic blood pressure includes the algorithmic expression

$$SP = [(\log v^2 / (1 - \epsilon H^2)) / \alpha + \lambda] / (1 - \kappa),$$

- 15 where, v is the pulse wave velocity, H is the heart rate, $\lambda = (\log (2\rho R / E_0 h)) / \alpha$, where R is the radius of the artery, E_0 is Young modulus referred to zero pressure; h is the thickness of the arterial wall, ρ is the blood density, and ϵ and α are obtained empirically.

34. The device of Claim 23, wherein the processing stipulated in step
20 (a) for the calculation of the subject's diastolic blood pressure includes the algorithmic expression

$$DP = SP - \rho v^2 \kappa,$$

- 25 where SP is the systolic pressure ρ is the blood density, and v is the pulse wave velocity.

35. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

- 30
$$E = (2R/h) (SP - DP) / \kappa$$

where R is the radius of the artery, h is the thickness of the arterial wall, SP is the systolic pressure and DP is the diastolic pressure.

36. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

$$E = (2R/h) SP/\Phi(\kappa, \gamma)$$

where R is the radius of the artery, h is the thickness of the arterial wall, SP is the systolic pressure, γ is the thermodynamic Poisson exponent of the blood and

$$\Phi = \frac{\sqrt{2\kappa(\gamma-1)^2 + 4 \cdot (\gamma-1) + 1} - 1}{2(\gamma-1)}$$

37. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

$$E = (2R/h) \cdot \rho \cdot \exp(-\lambda + MP) \alpha$$

- 15 where R is the radius of the artery, h is the thickness of the arterial wall, ρ is the blood density, $MP = (SP + 2 \cdot DP)/3$ where SP is the systolic pressure, DP is the diastolic pressure wherein at least one of the systolic pressure or the diastolic pressure is obtained using an algorithmic expression involving κ , and $\lambda = (\log(2\rho R/E_0 h))/\alpha$ where E_0 is Young modulus referred to zero pressure and
- 20 α is an empirically obtained constant.

38. The device of Claim 35, wherein the processing stipulated in step (a) for the calculation of Young modulus of an artery of the subject includes the algorithmic expression

$$E = (2R/h) \cdot \rho \cdot \exp((-\lambda + SP \cdot (1 - \kappa)) \alpha)$$

- 25 where R is the radius of the artery, h is the thickness of the artery wall, ρ is the blood density, SP is the systolic pressure and $\lambda = (\log(2\rho R/E_0 h))/\alpha$, wherein E_0 is Young Modulus referred to zero pressure and α is an empirically obtained constant.

39. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of the relative change in the cardiac output of a subject includes the algorithmic expression

5
$$CO = PEAK \cdot \{v \cdot [1 + SP/(2\rho \cdot v^2)]\}^2$$

where SP is a systolic pressure obtained using an algorithmic expression involving κ and

10
$$PEAK = k_1 \cdot PTT_{PA} + k_2 \cdot AREA,$$

where PA and AREA are respectively the amplitude and area of the pulse wave obtained from a PG signal, and k_1 and k_2 are obtained empirically.

40. The device of Claim 23, wherein the processing stipulated in step (a) for the calculation of the subject's cardiac resistance includes the algorithmic expression

$$VR = (SP - DP)/CO.$$

20 where any one or more of SP, DP, and CO are obtained from a calculation involving κ .

41. A device determining continuously and non-invasively whether a change in a subject's blood pressure is due to a change in cardiac output or vascular compliance, comprising:

25 (a) a device substantially obtaining continuously and non-invasively the ratio, κ of the subject's blood flow velocity to the propagation speed of the subject's pulse wave;

(b) a device processing κ substantially in real time so as to obtain the instantaneous values of the subject's SP, CO and VC; and

(c) a device processing the subject's SP, CO, and VC in real time so as to obtain the instantaneous values of the algorithmic expression:

$$\text{INDEX1} = \partial \text{SP} / \partial \text{CO} - \partial \text{SP} / \partial \text{VC},$$

an increase in INDEX1 over time indicating a change in the subject's blood pressure due to a change in cardiac output, otherwise the change in the subject's blood pressure is due to a change in vascular compliance.

42. A device determining continuously and non-invasively whether a change in a subject's blood pressure is due to a change in the subject's vascular resistance comprising:

10 (a) a device substantially obtaining continuously and non-invasively the ratio, κ of the subject's blood flow velocity to the propagation speed of the subject's pulse wave;

(b) a device processing κ substantially in real time so as to obtain the instantaneous values of the subject's SP, CO and VR; and

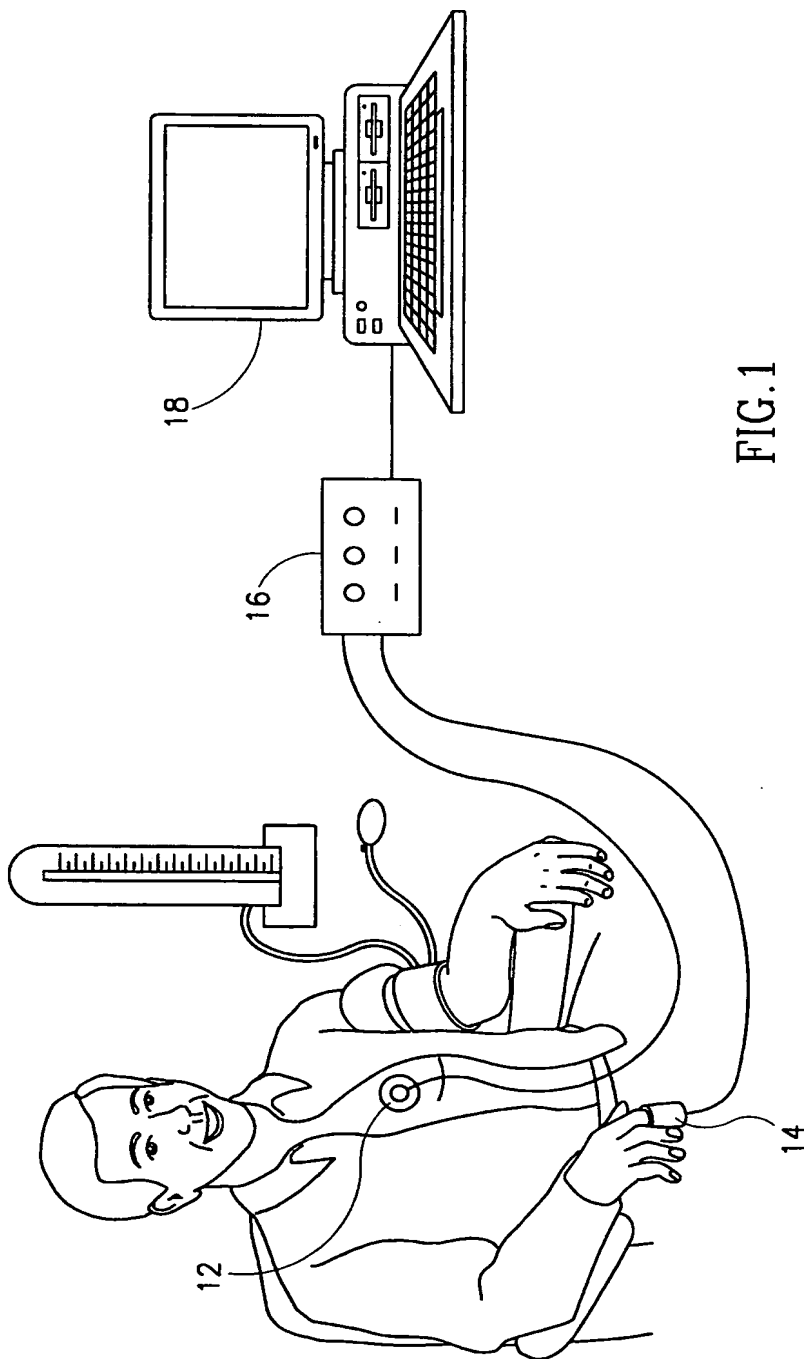
15 (c) a device processing the subject's SP, CO, and VR in real time so as to obtain the instantaneous values of the algorithmic expression:

$$\text{INDEX2} = \partial \text{SP} / \partial \text{CO} - \partial \text{SP} / \partial \text{VR},$$

an increase in INDEX2 over time indicating a change in the subject's blood pressure due to a change in cardiac output, otherwise the change in the subject's blood pressure is due to a change in vascular resistance.

20

1/2



2/2

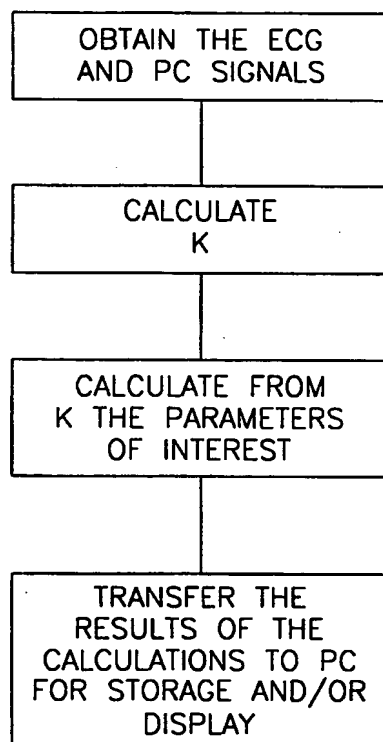


FIG.2

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/IL 00/00089

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61B5/0285 A61B5/0225

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 309 916 A (HATSCHEK RUDOLF A) 10 May 1994 (1994-05-10) abstract	1-42
A	WO 98 25516 A (DXTEK INC) 18 June 1998 (1998-06-18) claim 1	1-42
A	EP 0 443 267 A (SENTINEL MONITORING INC) 28 August 1991 (1991-08-28) cited in the application abstract; figure 1	1-42
A	EP 0 824 009 A (COLIN CORP) 18 February 1998 (1998-02-18) abstract	1-42

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

15 May 2000

Date of mailing of the international search report

22/05/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3018

Authorized officer

Jonsson, P.O.

INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/IL 00/00089

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5309916 A	10-05-1994	AT 132720 T DE 59107232 D EP 0467853 A JP 2750023 B JP 4250135 A	15-01-1996 22-02-1996 22-01-1992 13-05-1998 07-09-1992
WO 9825516 A	18-06-1998	US 5865755 A AU 4755697 A CN 1237885 A EP 0944352 A US 5857975 A	02-02-1999 03-07-1998 08-12-1999 29-09-1999 12-01-1999
EP 0443267 A	28-08-1991	CA 2036900 A JP 6014891 A	24-08-1991 25-01-1994
EP 0824009 A	18-02-1998	NONE	